

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :

Iain McCULLOCH et al.

Serial No. : Unassigned

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For : A CHARGE TRANSPORT MATERIAL INCLUDING A POLY-3,3"-  
DIALKYL-2,2' :5',2"-TERTHIOPHENE

**SUBMISSION OF PRIORITY DOCUMENT(S)**

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Sir:

Submitted herewith is a certified copy of each of the below-identified document(s),  
benefit of priority of each of which is claimed under 35 U.S.C. § 119:

COUNTRY	APPLICATION NO.	FILING DATE
Germany	02023109.8	15 OCT 02

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Respectfully submitted,

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Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

**Patentanmeldung Nr.    Patent application No.    Demande de brevet n°**

02023109.8

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**R C van Dijk**





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Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

Use of poly-3,3'-dialkyl-2,2':5',2"-terthiophenes as charge transport materials

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**Use of Poly-3,3''-dialkyl-2,2':5',2''-  
terthiophenes as Charge Transport Materials**

## Use of Poly-3,3"-dialkyl-2,2':5',2"-terthiophenes as Charge Transport Materials

5 The invention relates to the use of poly-3,3"-dialkyl-2,2':5',2"-terthiophenes as charge transport materials or semiconductors in electrooptical, electronic and electroluminescent devices. The invention further relates to charge transport and semiconducting components and devices comprising poly-3,3"-dialkyl-2,2':5',2"-terthiophenes.

10 Organic materials have recently shown promise as the active layer in organic based thin film transistors and organic field effect transistors [see H. E. Katz et al., *Acc. Chem. Res.*, 2001, **34**, 5, 359]. Such devices have potential applications in smart cards, security tags and the switching element in flat panel displays. Organic materials are envisaged to have substantial cost advantages over their silicon analogues if they can be deposited from solution, as this enables a fast, large-area fabrication route.

20 The performance of the device is principally based upon the charge carrier mobility of the semiconducting material and the current on/off ratio, so the ideal semiconductor should have a low conductivity in the off state, combined with a high charge carrier mobility ( $> 1 \times 10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ). In addition, it is important that the semiconducting material is relatively stable to oxidation, i.e., it has a high ionisation potential, as oxidation leads to reduced device performance.

30 A known compound which has been shown to be an effective p-type semiconductor for OFETs is pentacene [see S. F. Nelson et al., *Appl. Phys. Lett.*, 1998, **72**, 1854]. When deposited as a thin film by vacuum deposition, it was shown to have carrier mobilities in excess of  $1 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  with very high current on/off ratios greater than  $10^6$ . However, vacuum deposition is an expensive processing technique that is unsuitable for the fabrication of large-area films.

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Regioregular head-to-tail poly(3-hexylthiophene) has been reported with charge carrier mobility between  $1 \times 10^{-5}$  and  $4.5 \times 10^{-2} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , but with a rather low current on/off ratio between 10 and  $10^3$  [see Z. Bao et al., *Appl. Phys. Lett.*, 1996, **69**, 4108]. This low on/off current is due in part to the low ionisation potential of the polymer, which can lead to oxygen doping of the polymer under ambient conditions, and a subsequent high off current [see H. Sirringhaus et al., *Adv. Solid State Phys.*, 1999, **39**, 101]. A high regioregularity leads to improved packing and optimised microstructure, leading to improved charge carrier mobility [see H. Sirringhaus et al., *Science*, 1998, **280**, 1741-1744; H. Sirringhaus et al., *Nature*, 1999, **401**, 685-688; and H. Sirringhaus, et al., *Synthetic Metals*, 2000, **111-112**, 129-132]. In general, poly(3-alkylthiophenes) show improved solubility and are able to be solution processed to fabricate large area films. However, poly(3-alkylthiophenes) have relatively low ionisation potentials and are susceptible to doping in air.

It is an aim of the present invention to provide improved materials for use as semiconductors or charge transport materials, which are easy to synthesize, have high charge mobility, good processibility and oxidative stability.

Another aim of the invention is to provide new semiconductor and charge transport components, and new and improved electrooptical, electronic and electroluminescent devices comprising these components, like field effect transistors (FET) as components of integrated circuitry or of thin film transistors (TFT), and organic light emitting diode (OLED) applications like electroluminescent displays or backlights of liquid crystal displays.

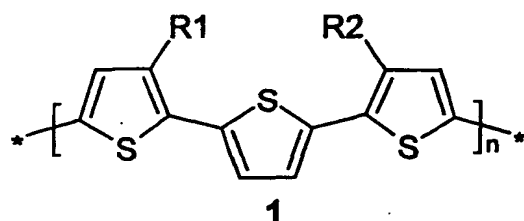
Other aims of the invention are immediately evident to those skilled in the art from the following description.

The inventors have found that these aims can be achieved by using poly-3,3'-dialkyl-2,2':5',2''-terthiophenes as semiconductors and charge transport materials.

The synthesis of poly-3,3''-dialkyl-2,2':5',2''-terthiophenes (1), wherein R1 and R2 are alkyl groups, via ferric chloride oxidative coupling has been described in WO 94/02530 and M. C. Gallazi, C. Bertarelli, E. Montoneri, *Synthetic Metals*, 2002, 128, 91.

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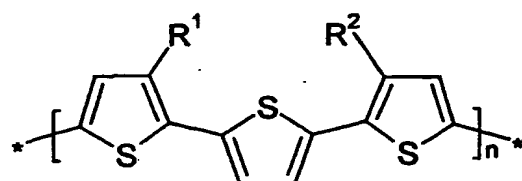
WO 94/02530, EP-A-0 945 723 and WO 99/31494 report the application of poly-3,3''-dialkyl-2,2':5',2''-terthiophenes (1) in their doped conductive form as electro-conductive layers in gas sensors. Furthermore, JP-A-63-002251 discloses conductive poly-3,3''-dialkyl-2,2':5',2''-terthiophenes (1) prepared via electrolytic polymerisation for use as polymeric cathodes in secondary batteries. However, there have been no reports of the application of poly-3,3''-dialkyl-2,2':5',2''-terthiophenes (1) as semiconductors or charge transport materials.

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The present invention relates to the use of 3,3''-disubstituted poly-2,2':5',2''-terthiophenes of formula I

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wherein R<sup>1</sup> and R<sup>2</sup> are independently of each other straight chain or branched alkyl, alkoxy, alkenyl, alkylcarbonyl, alkoxy carbonyl alkylcarbonyloxy, alkylthio, alkylthioalkyl, alkoxyalkyl, alkylsulfinyl or alkylsulfonyl with 1 to 20 C-atoms, or alkylaryl or arylalkyl comprising an alkyl group with 1 to 20 C atoms, and n is an integer > 1, as semiconductors or charge transport materials

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5 The invention further relates to the use of polymers of formula I as semiconductors or charge transport materials in optical, electrooptical or electronic devices, including field effect transistors (FET) for example as components of integrated circuitry, of thin film transistors (TFT) for flat panel display applications, or of radio frequency identification (RFID) tags, and semiconducting components for organic light emitting diode (OLED) applications including both the charge transport and electroluminescent layers in  
10 electroluminescent displays or backlights of liquid crystal displays.

The invention further relates to a semiconducting or charge transport component or device comprising a polymer of formula I as semiconducting or charge transport material.

15 The invention further relates to an optical, electrooptical or electronic device, FET, integrated circuit (IC), TFT or OLED comprising a semiconducting or charge transport component or device according to the invention.

20 The invention further relates to a TFT or TFT array for flat panel displays, radio frequency identification (RFID) tag, electroluminescent display or backlight comprising a semiconducting or charge transport component or device or a FET, IC, TFT or OLED  
25 according to the invention.

The invention further relates to a security marking or device comprising a FET or an RFID tag according to the invention.

30 The semiconductors and charge transport materials and components according to the present invention comprise polymers of formula I, which exhibit both a high degree of planarity in the backbone and strong interchain  $\pi$ - $\pi$  stacking interactions, making them effective charge transport materials with high carrier mobilities. The alkyl  
35 substituents R<sup>1</sup> and R<sup>2</sup> in formula I are incorporated to solubilise the polymers and make them readily solution processible. Solution

processing has the advantage over vacuum deposition processing of being a potentially cheaper and faster technique. Furthermore, the material is perfectly regioregular, which has been shown to improve packing density and optimise microstructure, leading to high carrier mobility. In fact, crystallisation of poly-3,3'-dihexyl-2,2':5',2''-terthiophene was observed by differential scanning calorimetry (DSC) on cooling the polymer melt to 140°C. Therefore, the polymers of formula I are useful as semiconductors or charge transport materials in FETs e.g. as components of integrated circuitry, ID tags or TFT applications.

Especially preferred are polymers of formula I wherein n is an integer from 2 to 5000, in particular from 20 to 1000.

Further preferred are polymers of formula I with a molecular weight from 1000 to 100000.

Further preferred are polymers of formula I wherein R<sup>1</sup> and R<sup>2</sup> are straight chain alkyl with 1 to 12 C atoms.

The polymers of formula I can be synthesized according to or in analogy to known methods, for example as described in WO 94/02530 and M. C. Gallazi, C. Bertarelli, E. Montoneri, *Synthetic Metals*, 2002, 128, 91.

The polymers of formula I can also be synthesised via other known methods, namely Yamamoto coupling [T. Yamamoto, A. Morita, Y. Miyazaki, T. Maruyama, H. Wakayama, Z. H. Zhou, Y. Nakamura, T. Kanbara, S. Sasaki and K. Kubota, *Macromolecules*, 1992, 25, 1214.], the McCullough coupling (procedures 1 and 2) [R. D. McCullough et al., *J. Chem. Soc., Chem. Commun.*, 1992, 70; R.S. Loewe et al., *Macromolecules*, 2000, p. A-J; R. D. McCullough, US Patent 6,166,172], Rieke coupling [T.-A. Chen, R. D. Rieke, *J. Am. Chem. Soc.*, 1992, 114, 10087.], Suzuki coupling [N. Miyaura, T. Yanagi, A. Suzuki, *Synth. Commun.*, 1981, 11, 513.], and Stille coupling [D. Milstein, J. K. Stille, *J. Am. Chem. Soc.*, 1979, 101, 4992.], which is evident to those skilled in the art.

The polymers of formula I show advantageous solubility properties which allow production processes using solutions of these compounds. Thus films, including layers and coatings, may be generated by low cost production techniques, e.g., spin coating.

5 Suitable solvents or solvent mixtures comprise alkanes and/ or aromatics.

The polymers of formula I are useful as optical, electronic and semiconductor materials, in particular as charge transport materials in FETs, which can be used, for example, as components of ICs, ID tags or TFT applications. Alternatively, they may be used in OLEDs in electroluminescent display applications or as backlight of for example liquid crystal displays, and for other semiconductor applications.

15 The polymers of formula I are particularly useful as charge transport materials in FETs. Such FETs, where an organic semiconductive material is arranged as a film between a gate-dielectric and a drain and a source electrode, are generally known, e.g., from US

20 5,892,244, WO 00/79617, US 5,998,804. Due to the advantages, like low cost production using the solubility properties of the compounds according to the invention and thus the processibility of large surfaces, preferred applications of these FETs are such as ICs, TFT-displays and security applications.

25 A typical fabrication method of a bottom-gate thin-film transistor (TFT) according to the present invention is described in the following, which should, however, be understood as an exemplary and preferred method, without limiting the scope of the present invention.

30 A solution of the polymer of formula I in xylene (10 mg/mL) is applied by spin-coating onto a prefabricated transistor substrate. Gold source-drain electrodes are defined by photolithography on a highly doped n+ silicon wafer with a ~210 nm silicon dioxide layer. Before

35 polymer deposition, the hydrophilic silicon dioxide surface is chemically modified e.g. by hexamethyldisilazane (HMDS).

Alternatively thin-film transistor (TFT) structures with a top-gate electrode [Sirringhaus et al., *Appl. Phys. Lett.*, **77**, p 406-408.] can also be fabricated. For these type of devices, the semiconducting polymer layer typically is spin-coated onto a pre-patterned insulating substrate (glass, plastic etc) with source-drain electrodes. The insulating layer is coated on top, followed by a cross-linking process if necessary. The transistor is finished by depositing a top gate electrode by either some printing technique or a vacuum deposition process.

In security applications, FETs and other devices with semiconductive materials, like transistors or diodes, may be used for ID tags or security markings to authenticate and prevent counterfeiting of documents of value like banknotes, credit cards or ID cards, national ID documents, licenses or any product with money value, like stamps, tickets, shares, cheques etc..

The polymers of formula I can also be used in OLEDs, e.g., in display applications or as backlight of e.g. liquid crystal displays. Common OLEDs are realized using multilayer structures. An emission layer is generally sandwiched between one or more electron-transport and/ or hole-transport layers. By applying an electric voltage electrons and holes as charge carriers move towards the emission layer where their recombination leads to the excitation and hence luminescence of the lumophor units contained in the emission layer. The polymers of formula I may be employed in one or more of the charge transport layers and/ or in the emission layer, corresponding to their electrical and/ or optical properties. Furthermore their use within the emission layer is especially advantageous, if the polymers of formula I according to the invention show electroluminescent properties themselves or comprise electroluminescent groups or compounds. The selection, characterization as well as the processing of suitable monomeric, oligomeric and polymeric compounds or materials for the use in OLEDs is generally known by a person skilled in the art, see, e.g.,

Meerholz, Synthetic Materials, 111-112, 2000, 31-34, Alcala, J. Appl. Phys., 88, 2000, 7124-7128 and the literature cited therein.

5 According to another use, the polymers of formula I which show photoluminescent properties, may be employed as materials of light sources, e.g., of display devices such as described in EP 0 889 350 A1 or by C. Weder et al., Science, 279, 1998, 835-837.

### 10 Example 1

A bottom-gate TFT device was fabricated as described above using poly-3,3''-dihexyl-2,2':5',2''-terthiophene as the semiconducting layer. A charge carrier mobility ( $\mu$ ) of 0.01 cm<sup>2</sup>/Vs for holes and an on/off ratio ( $I_{on}/I_{off}$ ) of  $1 \times 10^6$  were measured for the device.

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The transistor characteristics are measured with an Agilent 4155C semiconductor parameter analyser under a dry nitrogen atmosphere. I-V curves (output and transfer characteristics) are recorded for a transistor structure with a channel length ( $L = 10 \mu\text{m}$ ) and a channel width ( $W = 2 \text{ cm}$ ).

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Figures 1a and 1b show output (1a) and transfer characteristics (1b), respectively.

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In Figure 1a, the Source-Drain voltage ( $V_{sd}$ ) is varied between 0 and -60 volts with a different bias voltage applied to the gate electrode ( $V_g$ ). The transistor Source-Drain current ( $I_{sd}$ ) is plotted versus  $V_{sd}$  for  $V_g = 0, -15, -30, -45$  and  $-60 \text{ V}$ .

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In Figure 1b the transistor Gate voltage ( $V_g$ ) is varied between 20 and -60 volts for two different setting of Source-Drain voltage. The  $I_{sd}$  is plotted versus  $V_g$  for  $V_{sd} = -5$  and  $-60 \text{ V}$  respectively.

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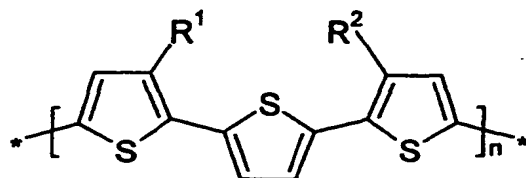




## Patent Claims

1. Use of 3,3''-disubstituted poly-2,2':5',2''-terthiophenes of formula I

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wherein R<sup>1</sup> and R<sup>2</sup> are independently of each other straight chain or branched alkyl, alkoxy, alkenyl, alkylcarbonyl, alkoxy carbonyl alkylcarbonyloxy, alkylthio, alkylthioalkyl, alkoxyalkyl, alkylsulfinyl or alkylsulfonyl with 1 to 20 C-atoms, or alkylaryl or arylalkyl comprising an alkyl group with 1 to 20 C atoms, and n is an integer > 1, as semiconductors or charge transport materials.

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2. Use of polymers of formula I as defined in claim 1 as semiconductors or charge transport materials in optical, electrooptical or electronic devices, including field effect transistors (FET) for example as components of integrated circuitry, of thin film transistors (TFT) for flat panel display applications, or of radio frequency identification (RFID) tags, and semiconducting components for organic light emitting diode (OLED) applications including both the charge transport and electroluminescent layers in electroluminescent displays or backlights of liquid crystal displays.
3. Use according to claim 1 or 2, wherein n in formula I is an integer from 2 to 5000.
4. Use according to at least one of claims 1 to 3, wherein R<sup>1</sup> and R<sup>2</sup> in formula I are straight chain alkyl with 1 to 12 C atoms.

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5. Semiconducting or charge transport component or device comprising a polymer as defined in at least one of claims 1 to 4 as semiconducting or charge transport material.
- 5 6. Optical, electrooptical or electronic device, FET, integrated circuit (IC), TFT or OLED comprising a semiconducting or charge transport component or device according to claim 5.
- 10 7. TFTs and TFT array for flat panel displays, radio frequency identification (RFID) tag, electroluminescent display or backlight comprising a semiconducting or charge transport component or device according to claim 5 or a FET, IC, TFT or OLED according to claim 8.
- 15 8. Security marking or device comprising a FET or an RFID tag according to claim 6 or 7.

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**Abstract of the Disclosure**

The invention relates to the use of poly-3,3"-dialkyl-2,2':5',2"-  
terthiophenes as charge transport materials or semiconductors in  
5 electrooptical, electronic or electroluminescent devices, and to  
charge transport and semiconducting components and devices  
comprising poly-3,3"-dialkyl-2,2':5',2"-terthiophenes.

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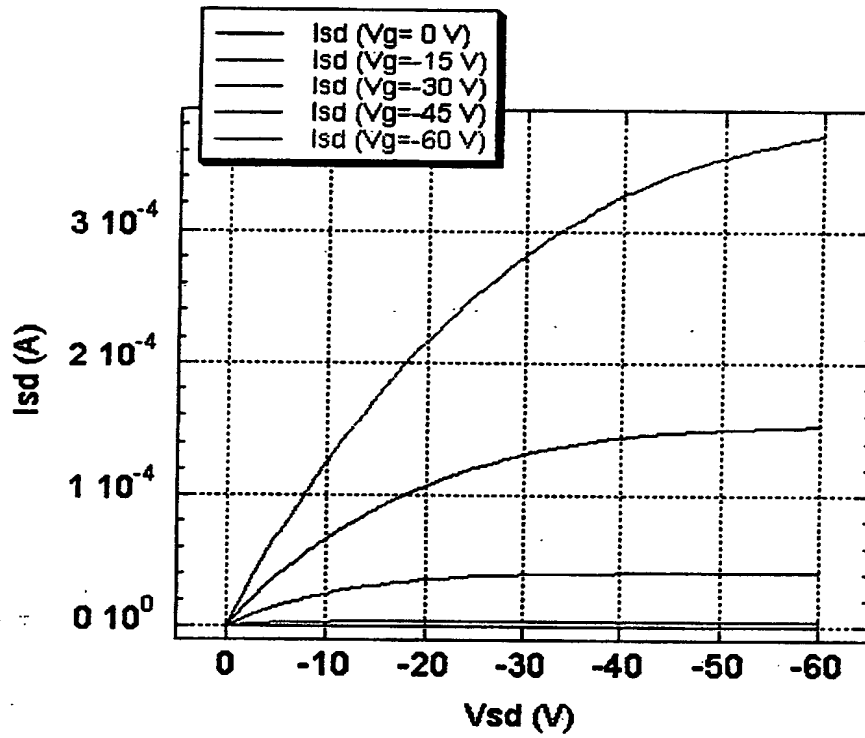


Figure 1a

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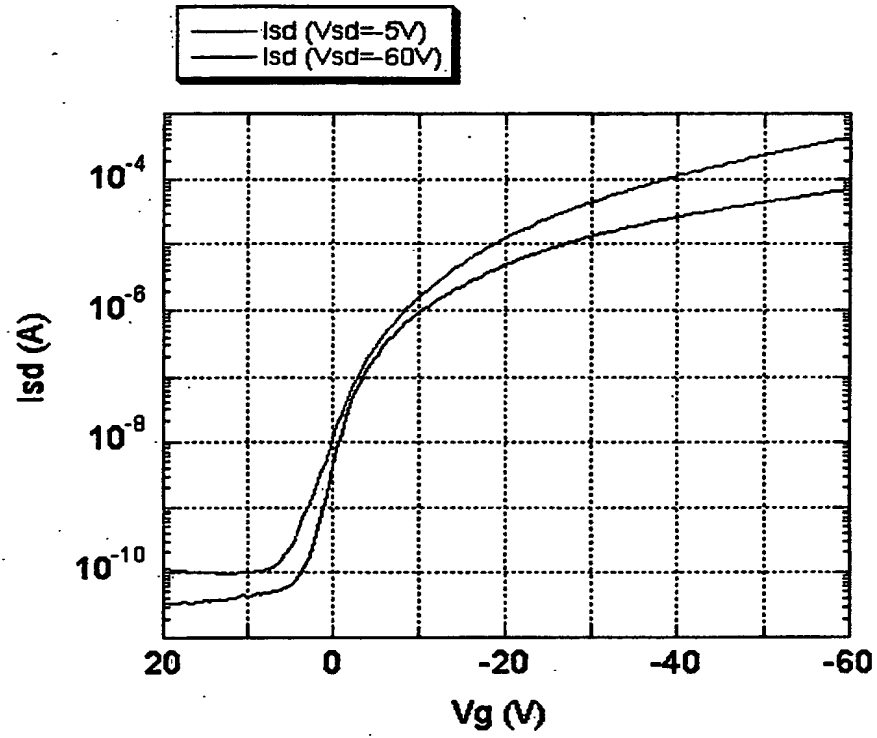


Figure 1b

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